

## **Professor James Guthrie**

### **General and Academic Short CV Details**

James has held posts at the University of New South Wales and Deakin University prior to taking up his Professorship at the Macquarie Graduate School of Management (MGSM) in 1995. In November 2004, he was appointed Professor of Accounting, Faculty of Economics and Business, at The University of Sydney. He has also been Visiting Professor at universities in Sweden, Italy, Scotland and England. He was appointed Deputy Director of MGSM in 1997, being Director of Academic Programs from 1996 to 1999, and Director of Research from 1999 to 2000. He was on the Executive Committee of MGSM for seven years and Discipline Convenor of Accounting and Finance since his appointment (similar to a Head of Department position). Before commencing his academic career, James worked in a chartered accounting firm, and has been made a Fellow of the Australian Society of CPAs and a Fellow of the Institute of Chartered Accountants in Australia.

His research and teaching interests include public sector accounting, auditing, accountability and management, social and environmental reporting and auditing, management of knowledge, and intellectual capital and extended performance measurement and reporting. He also consults on public and private sector management, management of knowledge, intellectual capital, budget performance, and annual reporting strategies. James was awarded the prestigious Chartered Institute of Management Accountants (CIMA UK) Research Foundation International Visiting Professorship for 2000, and an Italian national government outstanding international academic award for 2003-2004.

As well as public sector accounting, auditing and accountability, other major research interests include social and environmental accounting and reporting. His methodology in this field, developed in his master's thesis in the early 1980s, has become an internationally used standard for national and international comparative work. James continues to supervise doctoral research students, and actively referees and examines in this area. His auditing research work has included corporate governance and audit committees and he has presented his research findings to several parliamentary committees. His extended performance reporting research work has been linked to several consultancies for government and non-profit organisations. He has also been asked over the past decade to provide comments to many Parliamentary Public Accounts Committees' inquiries into public sector annual reporting and performance measurement. His education research work has challenged both the construction and reporting of teaching, administration and research performance in the Australian and the UK tertiary sectors. His work for the OECD on the management of knowledge and the measurement and reporting of intellectual capital in both the public and private sectors has led to several important large research projects and publications.

Other consultancies include:

- Expert for the Organisation for Economic Co-operation and Development (OECD) on 'The Role of Audit in Performance Improvement in Government';
- A survey of the environmental reporting practices in annual reports for the NSW Environmental Protection Agency;

- Consultant to the Joint Committee on Public Accounts on Responsibility in Annual Reports of Public Business Enterprises;
- Consultant on public sector commercialisation for the Committee for the Economic Development of Australia;
- Expert witness on productivity and performance measurement in the public sector for the Industrial Relations Commissions of NSW, WA and SA;
- Expert Assessor for Accounting Group, University of Tasmania – Research Quality Exercise.

James has been actively involved in several professional and community bodies concerned with the above academic interests, particularly as an Associate Director of the Centre for Social and Environmental Accounting Research, St Andrews University, Scotland, and as an International Associate of the International Public Sector Accounting Research Centre, Edinburgh University, Scotland. He is also a founding member of the International Public Management Network (IPMN) and the International Government Accounting Reports (CIGAR) network, and an International Associate of the International Public Sector Accounting Research Centre, Edinburgh University, Scotland. He is also the Director of the Asia Pacific Institute for Environmental Accountability, Sydney University branch. In addition he has been appointed to the board of the Performance Management Association special interest group on intellectual capital, Centre for Business Performance, Cranfield School of Management, UK. He was made an Honorary Fellow of Dundee University in 1993. He held the Tom Robinson Memorial Lectureship at the University of Edinburgh for 1993-94, has been visiting lecturer at the School of Management, Bath University (1987), and has been a visiting Professor at the School of Business, Stockholm University (1996), University of Siena (1998, 2006), University of Ferrara (2001/02), University of Bologna (2004), and the University of Bocconi SDA, Milan (2005), University of Bologna, Forli campuses (2006).

His doctoral thesis examined the emergence of Australian public sector accounting and management developments, and translated into a number of international refereed journal articles and professional publications. He has published across a range of academic disciplines on accounting and management issues, with refereed articles in public administration, law, economics, management, public policy and, of course, accounting journals. He has completed several major international research projects comparing public sector financial management reforms in various countries, which appear in his edited books.

James has published 115 articles in both international and national refereed and professional journals, and 32 chapters in books. He has presented his ideas and research findings to over 245 national and international gatherings. James is also co-editor of seven public sector management and accounting books.

His research work has been supported in recent years by over \$1,000,000 of external research grants from both national and international funding institutions. In addition, he has received over \$800,000 from various internal university grants.

James is the joint founding editor of international research journal, *Accounting, Auditing and Accountability Journal* (AAAJ), now in its 20th year.

James is also on 16 editorial boards and has co-edited 7 books on public sector management and accounting developments.

For two consecutive years James has received the best paper award (the John Perrin Prize, 1998 and 1999) for articles published in *Financial Accountability and Management*. In 1998, he was runner up in the outstanding paper award for the *Accounting, Auditing and Accountability Journal*. In 2004, he was awarded best paper for an article published in *Public Management Review*. In 2006, he was awarded best paper for an article published in the *Journal of Intellectual Capital*.

James has an extensive knowledge of the Intellectual Capital (IC) literature. He is Australasian Editor for the *Journal of Intellectual Capital* and has established a research cluster at The University of Sydney, Extended Performance Management and Reporting. He is also a founding member of the Australian Society of Knowledge Economics. He has published his IC research findings in a number of research journals, professional journals and book chapters, and has presented his ideas to both international and national conferences.

Professor Guthrie was involved in the development of the Australian Government Consultative Committee on Knowledge Capital in 2004. This group of industry and government leaders was established to promote a discussion within Australia as to the value of "knowledge capital" to an organisation and the nation. In this activity he has worked with the MD of Microsoft Australia, Westpac, NSW Dept of Lands, Victorian CIO, Australian Actuaries Association, and CPAs. The Committee has now formed the Society for Knowledge Economics which was launched in Melbourne in November 2005. Professor Guthrie is a policy advisor on research to the Society. He is a signatory to the Melbourne Protocol for Knowledge Economics and was an invited plenary speaker to their initial conference. Out of this project, he has developed a number of industry related research projects and linkage type grants. Also he has several PhD students working in this area and has published several articles, conference papers etc.

In the international arena on this topic area, Professor Guthrie has been actively involved with the OECD and European academic communities. For instance, he was recently invited to be a plenary speaker at the "Intellectual Assets and Innovation: Value Creation in the Knowledge Economy" EIASM conference, October 2005, Ferrara, Italy. Also he was invited to participate in the recent international policy conference by OECD on "Intellectual Assets, Innovation and Value Creation", October 2005. From the OECD work dating back to 1998 on the management of knowledge and the measurement and reporting of intellectual capital in both the public and private sectors, Professor Guthrie has become an internationally recognised scholar in this area.